

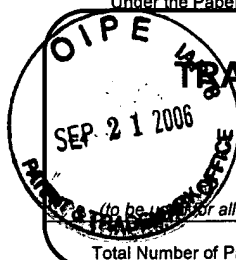
AP  
JW

PTO/SB/21 (07-06)

Approved for use through 09/30/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

Total Number of Pages in This Submission

26

Application Number	09/435,899
Filing Date	11/08/1999
First Named Inventor	Paul J. Seger
Art Unit	2164
Examiner Name	BETIT, JACOB F
Attorney Docket Number	TUC919990050US1

## ENCLOSURES (Check all that apply)

- |   |  |   |
|---|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment/Reply<br><input checked="" type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Reply to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>_X_ RETURN RECEIPT POSTCARDS (2) |
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Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	INTERNATIONAL BUSINESS MACHINES CORPORATION
Signature	<i>John H. Holcombe</i>
Printed name	JOHN H. HOLCOMBE
Date	Sept. 19, 2006

Reg. No. 20,620

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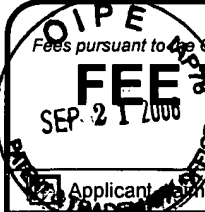
Signature	<i>Ceil White</i>
Typed or printed name	CEIL WHITE

Date 9/19/06

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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 <p>Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).</p> <h1 style="text-align: center;">FEE TRANSMITTAL</h1> <h2 style="text-align: center;">For FY 2006</h2>		<p style="text-align: center;"><b>Complete if Known</b></p> <table border="1"> <tr><td>Application Number</td><td>09/435,899</td></tr> <tr><td>Filing Date</td><td>11/08/1999</td></tr> <tr><td>First Named Inventor</td><td>Paul J. Seger</td></tr> <tr><td>Examiner Name</td><td>BETIT, JACOB F</td></tr> <tr><td>Art Unit</td><td>2164</td></tr> <tr><td>Attorney Docket No.</td><td>TUC919990050US1</td></tr> </table>		Application Number	09/435,899	Filing Date	11/08/1999	First Named Inventor	Paul J. Seger	Examiner Name	BETIT, JACOB F	Art Unit	2164	Attorney Docket No.	TUC919990050US1
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Art Unit	2164														
Attorney Docket No.	TUC919990050US1														
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27															
<b>TOTAL AMOUNT OF PAYMENT</b> (\$) -0-															

**METHOD OF PAYMENT (check all that apply)**

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 Deposit Account Name: IBM CORPORATION

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below 
 ☐ Charge fee(s) indicated below, **except for the filing fee**

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 
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**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES****Fee Description**

Fee (\$)	Small Entity Fee (\$)
50	25
200	100
360	180

**Total Claims**      **Extra Claims**      **Fee (\$)**      **Fee Paid (\$)**

11 - 20 or HP = -0- x        =       

HP = highest number of total claims paid for, if greater than 20.

**Indep. Claims**      **Extra Claims**      **Fee (\$)**      **Fee Paid (\$)**

1 - 3 or HP = -0- x        =       

HP = highest number of independent claims paid for, if greater than 3.

**Multiple Dependent Claims**

**Fee (\$)**      **Fee Paid (\$)**

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

**Total Sheets**      **Extra Sheets**      **Number of each additional 50 or fraction thereof**      **Fee (\$)**      **Fee Paid (\$)**


47 - 100 = N/A / 50 =        (round up to a whole number) x        =       

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

**Fees Paid (\$)**

Other (e.g., late filing surcharge): \_\_\_\_\_

<b>SUBMITTED BY</b>		Registration No.	Telephone
Signature		(Attorney/Agent) 20,620	520-760-6629
Name (Print/Type)	JOHN H. HOLCOMBE		Date <u>Sept. 19, 2006</u>

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**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

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Sept 19, 2006

Cecil White  
Cecil White

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No. : 09/435,899 Confirmation No. 5856  
Applicant : P. J. Seger  
Filed : 11/08/1999  
TC/A.U. : 2164  
Examiner : J. F. Betit

Docket No : TU999050

Mail Stop: AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT UNDER 37 CFR 1.116**

Sir:

This amendment is in response to the Patent and Trademark Office Advisory Action of Sept. 1, 2006 and the communication of July 12, 2006. This amendment withdraws all non-allowed claims, leaving only allowed Claims 29-39. Please enter the following amendment and remarks.

**Amendments to the Claims** are reflected in the listing of claims which begins at page 2 of this paper.

**Remarks/Arguments** begin at page 24 of this paper.